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**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No. **3**  
**BERK005**

In Re Application Of: **LIU et al.**

Serial No.  
**09/721543**

Filing Date  
**11/21/2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**1645**

Invention:

**"POLYNUCLEOTIDE LIGANDS AS ANTI-VIRAL AGENTS"**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on **FEBRUARY 8, 2001**.

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
- ☐ is/are attached.
- ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.

04/19/2001 BSAYAS11 00000012 500815 09721543  
Sale Ref: 00000051 DAW: 500815 09721543  
01 FC:205 65.00 CH  
02 FC:215 55.00 CH

- ☒ Other (list):

**COPY OF THE SEQUENCE ERROR REPORT (1 PG), PAPER COPY OF SUBSTITUTE SEQUENCE LISTING (11 PGS), ONE DISKETTE OF SUBSTITUTE SEQUENCE LISTING IN CRF, STATEMENT REGARDING SEQUENCE LISTING (1 PG), INFORMATION DISCLOSURE STATEMENT (1 PG), FORM 1449 (1 PG), SIX (6) CITED REFERENCES, RETURN POSTCARD.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No. **BERK005** **3**

In Re Application Of: **LIU et al.**

Serial No.  
**09/721543**

Filing Date  
**11/21/2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**1645**

Invention:

**"POLYNUCLEOTIDE LIGANDS AS ANTI-VIRAL AGENTS"**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: **APRIL 8, 2001**  
Date

until: **MAY 8, 2001**  
Date

Total time extension fees **\$55.00**

Total fees due **\$120.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**BERK005**

(3)

In Re Application Of: **LIU et al.**

Serial No.  
**09/721543**

Filing Date  
**11/21/2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**1645**

Invention:

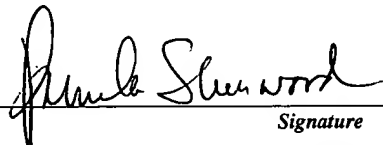
**"POLYNUCLEOTIDE LIGANDS AS ANTI-VIRAL AGENTS"**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$120.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0815**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0815**  
A duplicate copy of this sheet is enclosed.

  
Signature

**PAMELA J. SHERWOOD (36,677)  
BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, California 94025  
Telephone: 650-327-3400 ext. 790  
Facsimile: 650-327-3231  
Email: sherwood@bozpat.com**

Dated: **APRIL 11, 2001**

I certify that this document and fee is being deposited on **APRIL 11, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

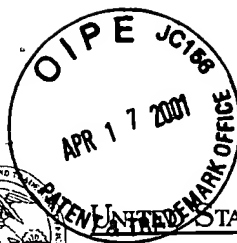
  
Signature of Person Mailing Correspondence

**WILHELM ALBERT PALMEN JR.**

Typed or Printed Name of Person Mailing Correspondence

CC:

(3)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,543	11/21/2000	Fenyong Liu	BERK-005

## FORMALITIES LETTER



\*OC000000005748560\*

Pamela J Sherwood  
Bozicevic Field & Francis LLP  
200 Middlefield Road Suite 200  
Menlo Park, CA 94025

Date Mailed: 02/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2001 BSAYAS11 00000051 500815 09721543

01 FC:205 65.00 CH  
02 FC:215 55.00 CH